

Good Things Come in Small Packages: Getting the Most From Shared Print Retention & Cooperative Collection Development with a Small Group of Libraries

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Session Agenda

- History and Background of the project
- Phase I: Data Analysis, Retention Verification Project, Claiming Project
- Experience of one Institution – Simpson College
- Phase II: Prospective Collection Development
- Next Steps
- Advantages & Disadvantages of a small group collaboration & tips for starting such a collaboration

❑ History and Background of Project

❑ CI-CCI Group member characteristics:

- **Five schools:** Central College, Drake University, Grand View University, Grinnell College, Simpson College
- Size (FTEs range from 1388-4400)
- Mission (all are **private academics**, all are heavily focused on Liberal Arts)
- **No Shared Catalog**

❑ Guiding principles:

- Decisions be **data driven**
- Guarantee **24 hour delivery** of materials
- **Coordinate acquisitions** to eliminate all but the most critical duplications AND **Maximize local budgets**
- Commitment by senior **administration**

❑ Second Steps:

- Hired SCS for collection and usage analysis
- MOU signed summer 2013 just 6 months into the project

MOU (Memorandum of Understanding)

Patterned after Michigan Shared Print Initiative

Elected to create two addenda to the MOU to deal with specific and unique issues related to ILL and acquisitions

- ▣ Based upon the guiding principles.
- ▣ **Acquisitions Addendum**
 - Acquisitions Taskforce
 - MARC 583
 - Maximum of 2 holdings/title
 - Common vendor
- ▣ **ILL-Delivery Addendum**
 - ILL-Delivery Taskforce
 - 24 hour delivery
 - common ILL practices i.e. 10 week loan period (Note: this was eventually changed to 120 days)

ci-cci.org

Central Iowa - Collaborative Collections Initiative

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Member Libraries

[Central College](#)
[Drake University](#)
[Grand View University](#)
[Grinnell College](#)
[Simpson College](#)

About CI-CCI

The library directors of Central College, Drake University, Grand View University, Grinnell College and Simpson College announced the formation of the **Central Iowa Collaborative Collections Initiative (CI-CCI)** in the summer of 2013. The directors signed a Memorandum of Understanding to formalize the initiative. The group met again in early August to begin to develop print retention scenarios.

CI-CCI has four immediate goals:




First, to responsibly reduce the size of local print collections by reducing duplication among the participating libraries so that library space may be freed up for other uses.

Second, to create and maintain a distributed, shared collection of these titles to ensure that circulating copies of them are retained within the group.

Third, to coordinate acquisitions with the goal of developing a shared collection among the participants to reduce duplication and to leverage acquisition funds.

Fourth, to establish an environment where exploration and additional areas of collaboration can flourish.

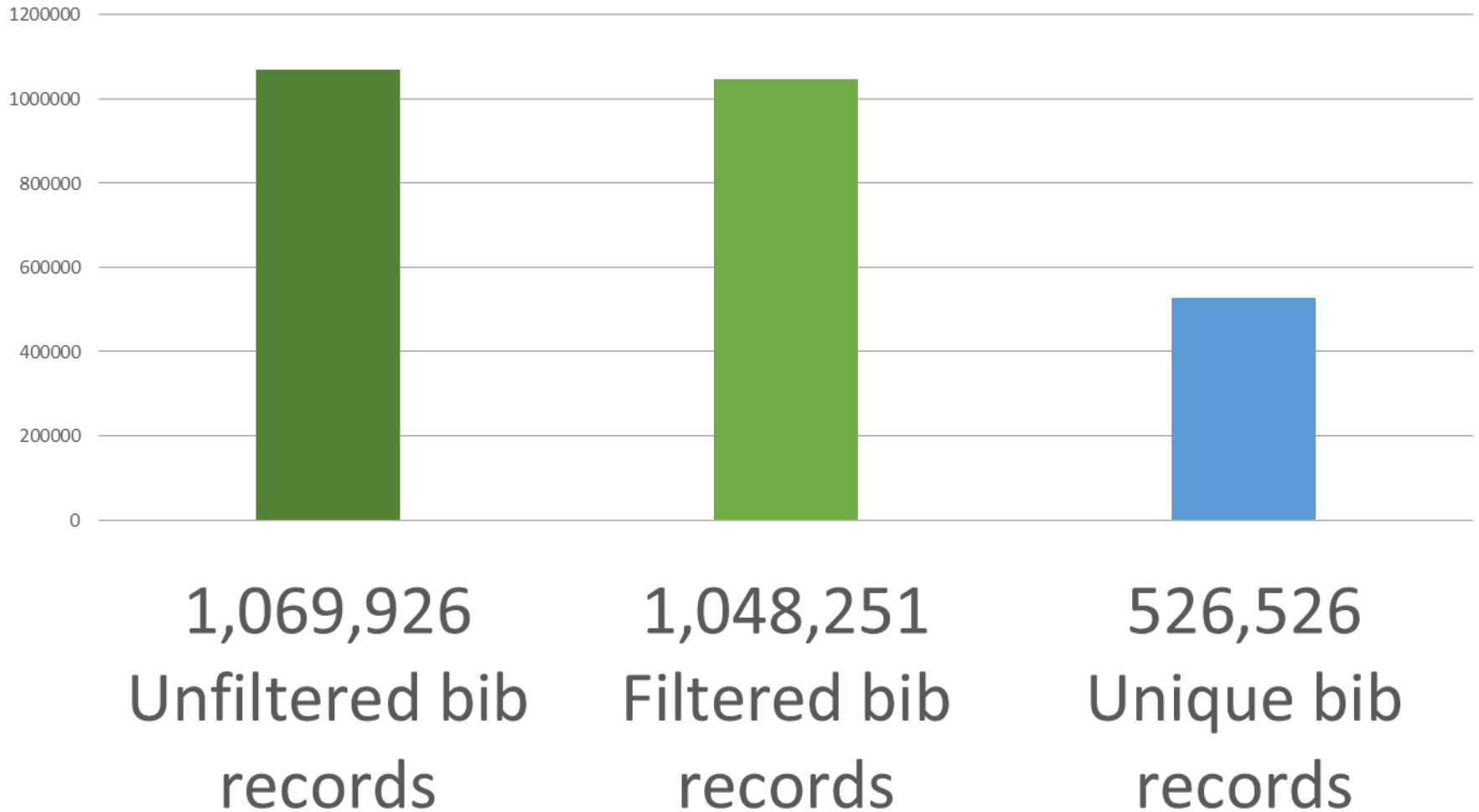
By launching **this shared print initiative**, each library can free space for more pressing local and institutional needs such as student study space, learning commons, classrooms, etc. A key component of this initiative is a focus on **developing a shared collection development approach to allow the participants to make better use of acquisitions dollars**. For many items it will eliminate the need to duplicate book purchases within the group since the collections will be shared. This will allow the libraries to offer a greater depth of materials. Additionally, the collaboration lays the framework for more targeted future collaboration among the participants.



Phase I: Data Analysis & Retention Verification

- Hiring of Sustainable Collections Services (SCS) to analyze group bibliographic records and circulation history
- Initial focus on identification of Retention titles

High level view of the group data



Scenarios (multiple factors):
Calculating the opportunity for
retention and withdrawal

Scenario Chosen by CI-CCI Group in Development of Retention & Withdrawal Lists

- Published before 1991
- Zero recorded uses since 2005
- At least 1 non-CI-CCI library in Iowa also holds an edition
- Retain 1 title-holding within the group

Retention Lists & Verification Project

- Each school agreed to verify that they had the items on their assigned retention list (INVENTORY)
- CI-CCI agreed to complete by Aug. 2014 –most were completed in 4-6 months
- An interactive database was developed by Drake.

Round 3 - Scenario 2

Published < 1991

Keep 1 title holding within CI-CCI

Zero recorded uses since 2005

At least one non CI-CCI library in Iowa also holds the title (any edition)

This allocation method maintains a consistent withdrawal and retention ratio for all member libraries. Other allocation methods are possible, but no library can withdraw more than their number of Eligible Title Holdings.

Institution	Eligible Title Holdings	Allocated Withdrawals	Allocated Retentions
Central	56,426	29,992	26,434
Drake	97,149	51,637	45,512
Grand View	31,906	16,959	14,947
Grinnell	98,129	52,158	45,971
Simpson	44,930	23,881	21,049
Total	328,540	174,626	153,914

Retention Verification Process Steps

- **Verifying** that each assigned title is on the shelf
- **Inspecting** the **physical condition** of each assigned title
- **Assigning a status** to the item
- **Stamping** each book with “**CI-CCI 2013**” to indicate that the book was a retention title & is not to be withdrawn
- **Modifying the item’s bibliographic record** (MARC 583) to indicate that it was a retention title (note: as of this presentation this has not been completed, but is in process).
- **No weeding** until verification process completed

Retention Verification: Web app design

- Drake used its Library Applications Developer to develop a **web application** to facilitate the verification process
- SCS data was imported into a **MySQL database**
- Front end web application designed with one purpose: making it **easy to record the verification at the shelves**
- Web app was built using **responsive design** (to work on any device & screen size); used a CSS & JavaScript-based user interface called “Bootstrap”
- <https://ci-cci.org/retention-lists/>

[✔ Do Review](#)[? Missing Items](#)[! Items In Poor Condition](#)[✔ Verified On Shelf](#)[✖ Verified Not On Shelf](#)[🕒 Recently Changed](#)[🔗 Find Items to Trade](#)[📄 Export](#)[🔍 Search](#)[👤 Change Password](#)[📘 Help / Info](#)[+ Add User](#)[⚡ User Stats](#)

Progress

Verified On Shelf

Verified Not On Shelf

Poor Condition

Not Found

Total Progress

110370 / 143294

Progress By Institution

Central

15922 / 23415

Drake

34239 / 38471

Grand View

12770 / 14511

Grinnell

31325 / 46754

Simpson

16114 / 20143

Retention Verification Numbers: First Round

	Verified on Shelf	Verified Not on Shelf	Poor Condition	Not Found	TOTAL
Central	22693	1510	311	226	24740
Drake	36696	280	128	1367	38471
Grand View	14336	141	33	1	14511
Grinnell	46431	291	45	241	47008
Simpson	19771	372	100	25	20268
TOTAL	139927	2594	617	1860	144998



96.5%

Retention Verification: Resolution of “Not Found” & “Poor Condition” titles

- All titles had to eventually be moved to either “**Verified on Shelf**” or “**Verified Not on Shelf**” for the purposes of this project
- Many “**Not Found**” titles were found misshelved or checked out
- Many “**Poor Condition**” titles were able to be sufficiently repaired
- Those titles that could not be found or repaired or were not returned (if checked out) were eventually moved to the “**Verified Not on Shelf**” category

Retention Verification Numbers: Second Round

	Verified on Shelf	Verified Not on Shelf	TOTAL
Central	23415	716	24131
Drake	38471	1033	39504
Grand View	14511	175	14686
Grinnell	46754	304	47058
Simpson	20143	372	20515
TOTAL	143294	2600	145894



Re-claiming Project (otherwise known as “horse trading”)

- ▣ What to do about the 2600 “**Verified Not on Shelf**” titles?
- ▣ Re-claiming project, commonly referred to as “**horse trading**”
- ▣ Smaller schools started process
- ▣ Drake’s Application Developer extended functionality of existing web app to identify availability at institutions other than the original assigned institution

Show:

Missing items from other institutions wh

Search by Call Number:

Trade Institution OCLC
Number

Central 8034594

Central 1273510 Dewey

Central 18381599 Dewey

Central 1126296 Dewey

Central 371252 Dewey

Simpson 1375551 Dewey

Central 988624 Dewey

Central 2331265 Dewey

Central 388779 Dewey: 155.2 Li 52, t, 2nd ed

Central 221524 Dewey: 155.4 Ch 3, h

Retain this item at Drake?

The last Jews in Berlin / Leonard Gross

OCLC Number: 8034594

This item has been **verified not found** at Central, where it was originally intended that it be retained. Records indicate that Drake holds this item, and it may also be held at other institutions as shown below.

Institution	Has Item?	Local catalog link
Drake	Yes	Catalog
Grand View	No	
Central	Verified Not On Shelf	
Grinnell	No	
Simpson	No	

Drake barcode for this item:

Close

Retain this item at Drake

The Simpson College Experience

- ▣ Opportunity: space & collections
- ▣ True collaboration: consensus & flexibility
- ▣ Commitment: resources & communication
- ▣ Shared print: finding & keeping

Phase II: Prospective Collection Development

- Coordinated acquisitions vs Coordinated collection development
- Goal of no more than 2 copies of any one title
- Select a common vendor

Prospective Collection Development: continued

- Two of the smaller CI-CCI libraries have been following the two copy procedure
- Delivery Issues:
 - Average delivery has been 3 days outside of weekends.
 - No common courier
 - Logistical issue
- CI-CCI is exploring options for improving delivery
- Access to order level information

Prospective Collection Development: Task Forces: Print Acquisitions

- Task Force on **Print Acquisitions**
 - Surveyed potential vendors
 - Real-time access to order level information and circulation notes
 - Experience with Shared print, PDA/DDA, print-on-demand
 - Vendor Demo
 - RFI to follow

Prospective Collection Development: Task Forces: Ebooks

- Task Force on **Ebooks**
 - Surveyed CI-CCI members
 - Vendors preferred
 - Purchase models
 - Factors constituting “good service”
 - Budget for ebooks
 - Potential for shared Ebook package(s)

CI-CCI Next Steps

- Addressing issues that have arisen in the last 18 months
- Implementation of **OCLC Shared Print symbol** to record retention commitments
- **Integrate new member(s):** University of Northern Iowa
 - Two sets of data – how will this work?
- **Updating MOU addenda** to reflect current practices & new member(s)
- **Long-term management**

Small Collaborative

Advantages & Disadvantages (from our perspective)

Small Collaborative: Advantages

- ▣ Fairly homogenous in size, location, mission
- ▣ Long standing history of cooperation
- ▣ Strong commitment to project goals & importance of decisions to mutually benefit all
- ▣ Once-a-month agenda-driven conference calls
- ▣ Governance by Directors & by consensus

Small Collaborative: Disadvantages

- ❑ Not grant funded
- ❑ When & how will we do “data refresh” given the expense?
- ❑ **Disparity in members’ size & budgets**
- ❑ **No Project Manager**
- ❑ Need better method for determining group leadership roles
- ❑ **Group shared holdings are limited** (only 1,000,000; but that will change with the addition of UNI; holdings will double)

Tips for Starting a Similar Collaboration

- Work within existing collaborative structure (if it exists)
- Determine need & interest via personal contact
- Get philosophical commitment before attempting formal agreement
- Survey the literature & internet for documentation (including MOUs) on similar collaborative projects
- Secure the support of Provost/Chief Academic Officer
- If possible, determine leadership roles of group members early in the process

Directory

Archived Titles

Programs | Facilities | Archiving Institutions

Download programs data

ASERL Collaborative Federal Depositories Program (ASERL)

ASERL Cooperative Journal Retention Program (ASERL)

Boston Library Consortium (BLC)

Central Iowa Collaborative Collections (CI-CCI)

CIC Government Documents Project (CIC CLI)

CIC Shared Print Repository (CIC SPR)

CLOCKSS (CLOCKSS)

Consortium of Academic and Research Libraries in Illinois L

Core Historical Literature of Agriculture (CHLA)

CRL JSTOR Print Archive Project (CRLJSTOR)

Five College Library Depository Program (FCLDP)



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Michigan Shared Print Initiative (MI-SPI)

Overview

In 2011, the libraries at Michigan's publicly-supported universities sought to devise a shared print collection among themselves. As facilitator and fiscal agent, MCLS brought together the libraries to identify titles that are commonly-held but little-used. Participating libraries used service providers (SCS) to identify such titles in their respective individual collections. The pilot project continued through April 2013. Because of its success, two more li

Questions?